

PATENT

BEST AVAILABLE COPY

SWAP/03
Confirmation No. 7478

CERTIFICATE OF FACSIMILE TRANSMISSION
 I hereby certify that this correspondence and the enclosures noted herein (3 total pages) are being transmitted via facsimile transmission to Mail Stop 16, Director of the US Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 at 703-305-8007 on October 18, 2004.

Judith L. Volk
Judith L. Volk

October 18, 2004
Date

OCT 20 PM 3:51

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ron Joseph
 Serial No.: 09/775,002
 Filed: February 1, 2001
 For: SYSTEM AND METHOD FOR FACILITATING TRANSFER OF VEHICLE
 LEASES

Art Unit: 3624
 Examiner: Geoffrey R. Akers
 Atty. Docket No.: SWAP/03

REQUEST FOR REFUND

Mail Stop 16
 Director of the US Patent and Trademark Office
 P.O. Box 1450
 Alexandria, VA 22313-1450

Sir:

This paper is for the purpose of requesting a refund of \$475.00 for the inappropriate charges to Applicant's representative's Deposit Account 23-3000 on September 28, 2004 for a three-month extension of time fee levied in connection with Applicant's filing of a Request for Continued Examination (RCE). This request is based upon the following:

Applicant timely filed a Notice of Appeal on February 23, 2004 with a Request for a Two-month Extension of Time, along with authorization to charge Deposit Account 23-3000 the requisite fee of \$210.00. Applicant timely mailed his Appeal Brief on April 21, 2004, and a check of the United States Patent and Trademark Office's private PAIR website indicates that the

BEST AVAILABLE COPY

Appeal Brief was filed on April 26, 2004. As such, as of April 26, 2004, Applicant was under no prescribed time period for response.

On September 17, 2004, Applicant's representative conducted a telephone interview with Examiner Geoffrey Akers, which resulted in the filing of a Request for Continued Examination (RCE) and Information Disclosure Statement on that same day, September 17, 2004.

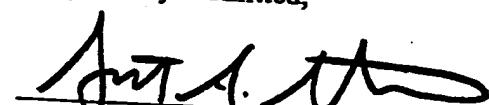
Applicant asserts that, since the filing of the RCE was not responsive to any communication for which a time period was set, no extension of time fees should be due. Applicant therefore respectfully requests a refund of the \$475.00 charged by the Office for three-month extension of time fees. The refund may be made either via check made payable to Applicant's representatives, or via a credit to Deposit Account 23-3000, whichever is most convenient for the Office.

If there are any questions regarding this paper, please contact the undersigned at (513) 241-2324. Moreover, if any other charges or credits are necessary to complete this communication, please apply them to Deposit Account 23-3000.

Respectfully submitted,

18 Oct 2004

Date


 Scott A. Stinebrunner
 Reg. No. 38,323
 WOOD, HERRON & EVANS, L.L.P.
 2700 Carew Tower
 441 Vine Street
 Cincinnati, Ohio 45202
 (513)241-2324

DIVISION
DIVISION
DIVISION
DIVISION
DIVISION
DIVISION

Page 2 of 2
 Serial No. 09/775,002
 WHE SWAP/03
 Request for Refund
 K:SWAP/03 Request for Refund - EOT.wpd

WOOD, HERRON & EVANS, L.L.P. ASST.

BRUCE TITTEL
 DONALD F. FREI
 DAVID J. JOSEPHIC
 DAVID S. STALLARD
 J. ROBERT CHAMBERS
 GREGORY J. LUNN
 KURT L. GROSSMAN
 CLEMENT H. LUKEN, JR.
 THOMAS J. BURGER
 GREGORY F. AHRENS
 WAYNE L. JACOBS
 KURT A. SUMME
 KEVIN G. ROONEY
 KEITH R. HAUP
 THEODORE R. RENAKLUS
 THOMAS W. HUMPHREY
 SCOTT A. STINEBRUNER
 DAVID H. BRINKMAN
 BEVERLY A. LYMAN, PH.D.

OF COUNSEL
 JOHN D. POFFENBERGER
 THOMAS W. FLYNN

2700 CAREW TOWER
 441 VINE STREET
 CINCINNATI, OHIO 45202-2017
 TELEPHONE: 513-241-2324
 FACSIMILE: 513-241-6234
 EMAIL: info@whepatent.com

PATENT, TRADEMARK, COPYRIGHT
 AND UNFAIR COMPETITION LAW
 AND RELATED LITIGATION

EDMUND P. WOOD 1923-1968
 TRUMAN A. HERRON 1935-1976
 EDWARD B. EVANS 1936-1971

JOSEPH R. JORDAN
 C. RICHARD EBY
 DAVID E. PRITCHARD

J. DWIGHT POFFENBERGER, JR.
 KATHRYN E. SMITH
 KRISTI L. DAVIDSON
 P. ANDREW BLATT, PH.D.
 DAVID E. JEFFERIES
 WILLIAM R. ALLEN, PH.D.
 JOHN PAUL DAVIS
 DOUGLAS A. SCHOLER
 BRETT A. SCHATZ
 DAVID W. DORTON
 SARAH OTTE GRABER
 STEVEN W. BENINTENDI, PH.D.
 RANDALL S. JACKSON, JR.

TECHNICAL ADVISORS
 HENRY M. LABODA, PH.D.

October 18, 2004

FACSIMILE COVER SHEET

To: Mail Stop 16
 Director of the US Patent and Trademark Office
 P.O. Box 1450
 Alexandria, VA 22213-1450

Fax: 703-305-8007

Enclosures:

Fax Cover Sheet containing Certificate of
 Facsimile Transmission (1 page)
 Request for Refund containing Certificate of
 Facsimile Transmission (2pages)

From: Scott A. Stinebruner

Re: U.S. Patent Application
 Serial No. 09/775,002
 Filed: February 1, 2001
 Applicant: Ron Joseph
 Art Unit: 3624
 Confirmation No.: 7478
 Our Ref: SWAP/03

Pages: 3 (including cover sheet)

MESSAGE/COMMENTS
OFFICIAL

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence and the enclosures noted herein (3 total pages) are being transmitted
 via facsimile transmission to Mail Stop 16, Director of the US Patent and Trademark Office, P.O. Box 1450,
 Alexandria, VA 22313-1450 at 703-305-8007 on October 18, 2004.

Judith L. Volk
 Judith L. Volk

October 18, 2004
 Date

BEST AVAILABLE COPY

The information in this facsimile message is ATTORNEY-CLIENT PRIVILEGED, WORK PRODUCT and/or CONFIDENTIAL INFORMATION intended only for the use of the individual or entity to whom this message is addressed. If the reader of this message is not the intended recipient or the employee or agent responsible for delivering it to the intended recipient, you are hereby notified that any dissemination, distribution or reproduction of this communication is strictly prohibited. If you have received this communication in error, please immediately notify us by telephone and return the original message to us at the above address via mail. Thank you. If transmission is interrupted or of poor quality, please notify us immediately by calling (513) 241-2324 and ask for the sender's assistant. OUR FAX NUMBER IS (513) 241-6234.

PATENT

SWAP/03

Confirmation No. 7478

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ron Joseph
Serial No.: 09/775,002
Filed: February 1, 2001
For: SYSTEM AND METHOD FOR FACILITATING TRANSFER OF VEHICLE LEASES

Art Unit: 3624
Examiner: Geoffrey R. Akers
Atty. Docket No.: SWAP/03

FEE CALCULATION

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

BEST AVAILABLE COPY

Sir:

1. The fee has been calculated as shown below:

CALCULATION OF FEES

| Fee: | Number of Claims After Amendment: | | Previously Paid For: | No. Extra: | At Rate: | Amount: |
|------------------------------|--------------------------------------|-------|----------------------|------------|----------|----------|
| Total Claims | 44 | minus | 41 | 3 | \$9 | \$27.00 |
| Independent Claims | 9 | minus | 6 | 3 | \$43 | \$129.00 |
| MULTIPLE DEPENDENT CLAIM FEE | | | | | | \$145 |
| TOTAL FEE FOR CLAIMS: | | | | | | \$156.00 |

No additional fee for claims is required.

2. Attached is a check in the sum of \$ _____ for additional claims.
 Please charge my Deposit Account No. 23-3000 in the amount of \$ 156.00.

3. Attached is a check in the amount of \$ _____ for Extension of Time Fee under
37 C.F.R. §§ 1.136 and 1.17 for a _____ month extension of time.
 Please charge my Deposit Account No. 23-3000 in the amount of \$ _____.

4. Please charge any additional fees or credit overpayment to Deposit Account No.
23-3000. A duplicate of this sheet is enclosed.

Adjustment date: 12/03/2004 SDIRETAL
09/28/2004 MJONES1 00000001 233000 09775002
01 FC:2253 475.00 CR

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

By:


Scott A. Stinebruner
Reg. No. 38,323

Date: 17 SEP 2004

2700 Carew Tower
441 Vine Street
Cincinnati, Ohio 45202-2917
(513) 241-2324

Best Available Cop.

Page 1 of 1
Serial No. 09/775,002
WHA&E SWAP/03
Fee Calculation
E-SWAP/03C Claim Fee Calculation Sheet v3.0

TOTAL P.71